

Remarks

The Amendments

Claim 1 has been amended to delete the recitations “or a functional equivalent thereof” and “solely.” Claim 1 also has been amended to recite that “the heterologous gene expresses an active pyruvate decarboxylase.” This amendment is supported by Example 10 of the specification, which discloses expression of an active pyruvate decarboxylase from a heterologous gene.

Claims 9, 12, and 13 have been rewritten as independent claims.

Claim 14 has been amended to recite bacterial strain “LN-DP1” in place of “LN-P1.” The amendment corrects an obvious typographical error. See Example 6, which describes the “Production of Strain LN-DP1.” (Page 10, line 22 to page 11, line 5.)

New claims 26-28 recite that the bacterium of claims 9, 12, and 13 is a thermophile. These new claims are supported by originally filed claim 3.

New claims 29-31 recite that the bacterium of claims 9, 12, and 13 further comprises an inactivated lactate dehydrogenase gene. New claims 29-31 are supported by originally filed claim 5.

None of these amendments introduces new matter or requires a new search.

Rejection of claims 1-13 under 35 U.S.C. §112, second paragraph

Claims 1-13 are rejected under 35 U.S.C. §112, second paragraph as being indefinite. Applicants respectfully traverse.

The Office Action asserts that the recitation “but has solely native alcohol dehydrogenase function” in claim 1 is indefinite. As suggested in the Office Action, this recitation has been replaced by the recitation “has native alcohol dehydrogenase function.”

The Office Action also asserts that the recitation “or a functional equivalent thereof” in claim 1 is indefinite. To advance prosecution, this recitation has been deleted.

Withdrawal of these rejections of claims 1-13 is respectfully requested.

Rejection of claims 1-14 under 35 U.S.C. §112, first paragraph

Claims 1-14 are rejected under 35 U.S.C. §112, first paragraph as lacking both written description and enablement. Applicants respectfully traverse.

Written description rejection of claims 1-14

The Office Action asserts that the broad genus of “a heterologous gene encoding pyruvate decarboxylase (pdc) or a functional equivalent thereof” is not adequately described in the specification. Amended claim 1, however, does not recite this broad genus. To advance prosecution, claim 1 has been amended to recite the genus of “a heterologous gene encoding pyruvate decarboxylase.” The specification adequately describes this genus.

Heterologous genes encoding pdc were well known in the art prior to the filing of the application. A heterologous gene is a gene derived from a different species. (See Merriam Webster’s definition of “heterologous”; Appendix A.) The specification teaches that heterologous pdc genes “may be from *Zymomonas* sp, preferably *Z. mobilis* or may be from yeast e.g. the *S. cerevisiae* pdc 5 gene.” (Page 5, lines 1-2.) The nucleotide sequence of at least ten other pyruvate decarboxylase genes derived from species other than a species of gram-positive bacteria (*i.e.*, heterologous genes) were known in the art prior to the January 6, 2000

effective filing date of the application:

- Accession number X87929 (Appendix B) discloses the nucleotide and amino acid sequence for *Kluyveromyces lactis* pyruvate decarboxylase;
- Accession number X81854 (Appendix C) discloses the nucleotide and amino acid sequence for *Nicotiana tabacum* pyruvate decarboxylase;
- Accession number U71122 (Appendix D) discloses the nucleotide and amino acid sequence for *Arabidopsis thaliana* pyruvate decarboxylase-2;
- Accession number U65927 (Appendix E) discloses the nucleotide and amino acid sequence for *Neospora crassa* pyruvate decarboxylase;
- Accession number X92743 (Appendix F) discloses the nucleotide and amino acid sequence for *Oryza sativa* pyruvate decarboxylase;
- Accession number X59546 (Appendix G) discloses the nucleotide sequence and amino acid sequence for *Zea mays* pyruvate decarboxylase;
- Accession number U75311 (Appendix H) discloses the nucleotide and amino acid sequence for *Pichia stipitis* pyruvate decarboxylase;
- Accession number AF098293 (Appendix I) discloses the nucleotide sequence and amino acid sequence for *Aspergillus oryzae* pyruvate decarboxylase;
- Accession number AI728334 (Appendix J) discloses the nucleotide sequence for *Gossypium hirsutum* pyruvate decarboxylase isozyme 1; and
- Accession number Z54096 (Appendix K) discloses the nucleotide sequence of a cosmid from *Schizosaccharomyces pombe* chromosome 1 that includes the nucleotide and amino acid sequence of pyruvate decarboxylase (page 5).

These sequences were retrieved from the National Center for Biotechnology Information (NCBI) database and bear dates that are at least two and one half months before the priority date of the present application. A specification need not disclose what is well known to those skilled in the art and preferably omits that which is well known to those skilled and already available to the public. *In re Buchner*, 929 F.2d 660, 661 (Fed. Cir. 1991); *Hybritech, Inc. v. Monoclonal Antibodies, Inc.*, 802 F.2d 1367, 1384 (Fed. Cir. 1986). Thus, the teachings of the specification,

together with the knowledge in the art of numerous “heterologous genes encoding pyruvate decarboxylase,” adequately demonstrates that applicants were in possession of the invention of claims 1-13 when the present specification was filed.

New claims 26-31 should also not be subject to this rejection. They each ultimately depend from claim 1 and thus also recite gram-positive bacterium transformed with a heterologous gene encoding *pdh*.

Claim 14 recites particular deposited strains of bacteria. A reference in the specification to a deposit constitutes an adequate description of the deposited material sufficient to comply with the written description requirement. *Enzo Biochem v. Gen-Probe Incorporated*, 296 F.3d 1316, 1325 (Fed. Cir. 2002). Thus claim 14 also is adequately described.

Withdrawal of this rejection of claims 1-14 is respectfully requested.

Enablement rejection of claims 1-14

The Office Action asserts that claims 12-14 are not enabled because they recite novel vectors and strains of microorganisms, some of which have been deposited, but have not been indicated as being publicly available. (Paper 12, page 5, lines 10-16.) Applicants respectfully traverse.

Claim 12 recites a Gram-positive bacterium transformed with a plasmid, pFC1. The specification enables how to make and use plasmid pFC1. The specification discloses that plasmid pFC1 “was formed from a fusion of pAB124 (Bingham et al., *Gen. Microbiol.*, 114, 401-408, 1979) and pUC18.” (Page 12, lines 18-20.) The specification also teaches that as a result of fusing pAB124 and pUC18, plasmid pFC1 has a restriction enzyme cleavage map as shown in Figure 8. The plasmid pAB124 was known and used at the time the application was filed. Bingham (*J. Gen. Microbiol.* 119, 109-115, 1980) teaches, at Figure 2, a restriction

enzyme cleavage map of plasmid pAB124 (Page 114; Appendix L.) The sequence the plasmid pUC18 was known at the time the application was filed. GenBank accession number L09136 discloses the sequence of the complementary strand of pUC18. See Appendix M. One of skill in the art would have been able to make and use plasmid pFC1 as shown in Figure 8 using the well known structures of pAB124 and pUC18 and the routinely practiced techniques of molecular biology.

Claims 13 and 14 recite ten strains of bacteria. The recited bacterial strains are LN, LN-T (31), LN-T (32), TN, TN-P1, TN-P3, LN-S (J8), LN-D, LN-D11, and LN-DP1. Four of the recited bacterial strains, LN, TN, LN-S (J8), and LN-D, have been deposited with the National Collections of Industrial, Food and Marine Bacteria (NCIMB). These strains will also be made publicly available upon issuance of a patent. See the declaration of the inventors that accompanies this response. Appendix N. Thus each of these four bacterial strains are enabled.

The specification teaches one of skill in the art how to make and use the remaining six bacterial strains without undue experimentation. The specification teaches how to make and use bacterial strains TN-P1 and TN-P3. The specification discloses that bacterial strain TN-P1 is produced from bacterial strain TN after transformation with plasmid pBST22-zym. (Example 8; page 11, lines 21-24.) The specification discloses that bacterial strain TN-P3 is produced by transforming bacterial strain TN with plasmid pFC-PDC1. (Example 9, page 12, lines 15-18.) Those of skill in the art routinely practice transformation of bacteria. Thus, one of skill in the art could readily produce bacterial strains TN-P1 and TN-P3 using the deposited TN bacterial strain.

The specification also teaches one of skill in the art how to make and use bacterial strains LN-T (E31, E32). The specification teaches that bacterial strains LN-T (E31, E32) are “spontaneous transposon mutants from strain LN. Both strains are lactate deficient.” (Page 9,

lines 6-7.) Thus strain LN-T (E31, E32) bacteria are strain LN bacteria that have spontaneously lost LDH activity. One of skill in the art would have been able to produce and identify LN-T strain bacteria by merely culturing the deposited LN strain bacteria and screening the cultured bacteria for LDH activity. Methods of assaying LDH activity of bacteria were also well known at the time the application was filed. Payton *et al.* (FEMS Microbiology Letters, 26, 333-336, 1985; Appendix O) teaches the identification of *Bacillus stearothermophilus* that lack LDH activity. Screening for *B. stearothermophilus* that lacked LDH activity “was achieved by selection for resistance to a suicide substrate, fluoropyruvate.” (Page 333, lines 8-9 of the Summary; See also page 334, column 1, line 15 to column 2, line 40.)

The specification also teaches one of skill in the art how to make and use bacterial strain LN-D11 without undue experimentation. The specification discloses that LN-D11 bacteria are produced from strain LN-D bacteria “after repeated subculture.” (Page 10, line 13.) LN-D11 bacteria are similar to strain LN-D bacteria except they are sensitive to kanamycin. See Figure 1, which discloses that LN-D strain bacteria are spo^- , ldh^- , km^R , (sporulation deficient, LDH recombination mutant, and kanamycin resistant) and LN-D11 bacteria are spo^- and ldh^- (sporulation deficient and LDH recombinant mutant). One of skill in the art, using the well known techniques of cell culture would have been able to subculture the deposited LN-D strain bacteria and screened it for kanamycin resistance without undue experimentation.

The specification also teaches one of skill in the art how to make and use bacterial strain LN-DP1. The specification discloses that LN-DP1 bacteria are “produced from strain LN-D11 after transformation with the replicative plasmid pBST22-zym.” (Page 10, lines 24-25.) One of skill in the art could readily have produced bacterial strain LN-D11 as indicated above. One of skill in the art would further have been able to transform the LN-D11 strain bacteria with

plasmid pBST22-zym by routine experimentation. Thus, one of skill in the art would also have been able make and use bacterial strain LN-DP1 without having to resort to undue experimentation.

Withdrawal of this rejection to claims 12-14 is respectfully requested.

Rejection of claims 1, 2, 8, and 11 under 35 U.S.C. §102(b)

Claims 1, 2, 8, and 11 are rejected under 35 U.S.C. §102(b) as being anticipated by Danilevich *et al.* (*Molecular Biology*, 1994, 28(1):158-166; “Danilevich”). Applicants respectfully traverse.

To reject claims as anticipated, each and every element as set forth in the claim must be found either expressly or inherently described in a single prior art reference. *Verdegaal Bros. v. Union Oil Co. of California*, 814 F.2d 628, 631 (Fed. Cir. 1987). See also MPEP § 2131. Danilevich does not teach each and every element of claims 1, 2, 8, and 11.

Claim 1, the independent claim of the rejected set, is directed to a Gram-positive bacterium that has been transformed with a heterologous gene encoding pyruvate decarboxylase but that has native alcohol dehydrogenase function. Danilevich is cited as teaching “an identical Gram-positive bacterium belonging to the *Bacillus sp.* (Paper 12, page 6, lines 9-10.)

Danilevich teaches the construction of a recombinant plasmid that encodes *pdh*. The plasmid was transformed into a Gram-positive bacterium, *B. subtilis*. *B. subtilis*, however, lacks native alcohol dehydrogenase function. “The *B. subtilis* genome lacks both genes (*pdh* and *adh*).” (Page 105, second column, lines 14-15; See also page 109, first column, lines 29-30.) Thus Danilevich does not expressly or inherently teach a Gram-positive bacterium that has been transformed with a heterologous gene encoding pyruvate decarboxylase and that “has native

alcohol dehydrogenase function.” Danilevich does not expressly or inherently teach each and every element of claim 1 or of dependent claims 2, 8, and 11 and does not anticipate these claims. Similarly, Danilevich does not anticipate new claims 26-31, which depend from claim 1.

Withdrawal of this rejection is respectfully requested.

Rejection of claims 1-8, 10, and 11 under 35 U.S.C. §103(a)

Claims 1-8, 10, and 11 are rejected under 35 U.S.C. §103(a) as being unpatentable over Danilevich in view of Hartley *et al.* (*Biotechnol.*, 1983, 145(1):390-396; “Hartley”) and “the common knowledge in the art of molecular biology.” (Paper 12, page 6, line 24.) Applicants respectfully traverse.

Each of claims 1-8, 10, and 11 recites a Gram-positive bacterium that (1) has been transformed with a heterologous gene encoding pyruvate decarboxylase and (2) has native *adh* function. To reject these claims as *prima facie* obvious the Patent Office must meet three criteria:

First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations.

MPEP § 2143. The Patent Office has failed to meet the second criterion.

Danilevich is cited as teaching a Gram-positive bacterium with native *adh* activity that has been transformed with a plasmid that comprises a heterologous *pdc* gene. (Claim 1; Paper 12, page 6, lines 4-7.) Danilevich also is cited as teaching that the bacterium is a *Bacillus sp.* (claim 2), a heterologous *pdc* gene from *Z. mobilis* (claim 8), and transformation of the

bacterium with a plasmid comprising the heterologous gene (claim 11). (Paper 12, page 6, lines 9-11.) Hartley is cited as teaching the use of a thermophilic microorganism such as *B. stearothermophilus* for production of ethanol. (Claims 2-4; Paper 12, page 7, lines 14-15.) Hartley also is cited as teaching inactivation of the lactate dehydrogenase gene (claim 5) and a heterologous *pdc* gene from *Zymomonas sp.* or *S. cerevisiae* (claim 7). (Paper 12, page 8, lines 15-21.) The Office Action asserts:

With the reference of Danilevich et al. which teaches the introduction of *Z. mobilis* pyruvate decarboxylase into *B. subtilis* and the reference of Hartley et al. which teaches the advantages of using a thermophilic *Bacillus* which has been genetically modified for alcohol production, it would have been obvious to one of ordinary skill in the art to transform *B. stearothermophilus* instead of *B. subtilis* as taught by Danilevich et al. and develop a strain for production of ethanol. With the common knowledge prevailing in the art of molecular biology, it would have been obvious to use methods in which the heterologous gene is introduced through a plasmid or incorporate it into the chromosome of the host bacterium. One of ordinary skill in the art would have been motivated to do so as Hartley et al. teach that use of thermophilic bacteria for production of ethanol has certain economic advantages over other fermentation methods. One of ordinary skill in the art would have a reasonable expectation of success since decarboxylase of *Z. mobilis* and the reference of Hartley et al. suggest the same and in addition provide methods to obtain lactate dehydrogenase non-producers.

Paper 12, page 8, lines 3-16.

As indicated above, Danilevich teaches that *B. subtilis* was transformed with a plasmid comprising the *pdc* gene. *B. subtilis* does not have native *adh* function. Hartley teaches manipulation of the genome of a thermophilic, Gram-positive bacterium that has a native *adh* gene (*B. stearothermophilus*) to inactivate the *lactate dehydrogenase (ldh)* gene. One of ordinary skill in the art, however, would not have combined these teachings to arrive at a Gram-

positive bacterium which has been transformed with a heterologous gene encoding pyruvate decarboxylase, and that has native alcohol dehydrogenase function.

Danilevich teaches that the metabolism of a bacterium having both *pdc* and *adh* gene function may be unpredictably altered: “Introduction of two actively expressed genes, *pdk* and *adh*, into *B. subtilis* may result in ethanol production or alter the cell metabolism in an unpredictable manner.” (Page 109, second column, lines 33-36, emphasis added.) Thus, one of ordinary skill in the art would not have reasonably expected to be able to produce a bacterium comprising both an active, expressed heterologous *pdc* gene and native *adh* gene function.

Hartley does not remedy the deficiencies of Danilevich. Hartley teaches that “one might *try* a recombinant DNA approach to introduce and express the gene for pyruvate decarboxylase (PDC) from yeast or *Zymomonas* [*i.e.*, cells that have *adh* activity], which gives acetaldehyde + CO₂ from pyruvate (ADH then converts the acetaldehyde to ethanol).” (Page 896, line 41 to page 897, line 2, emphasis added.) But Hartley does not teach or suggest that a bacterium with both *adh* function and an active, expressed heterologous *pdc* gene could be successfully produced. In fact, if one of ordinary skill in the art looked to Danilevich for an expression vector encoding a *pdc* gene, she would have been taught that introduction of *pdk* and *adh* genes into a Gram-positive bacterium could alter its metabolism and would not reasonably have expected success.

In fact, in contrast to what would have been expected from the teachings of the cited art, the claimed bacteria have the unexpected properties of a significant increase in ethanol production and superior growth characteristics.

Expression of *pdc* has resulted in a significant increase in ethanol production by the recombinant organism and has unexpectedly improved the organism's growth characteristics. Recombinant

microorganisms, which prior to transformation with the *pdc* gene were highly unstable and difficult to culture, show significant increases in growth and survival rates both aerobically and anaerobically as well as an increase in the rate of ethanol production near to theoretical yields.

Page 4, lines 4-10.

One of ordinary skill in the art would have expected even less success at producing the bacterium of dependent claim 3. Claim 3 is directed to a thermophilic Gram-positive bacterium which is transformed with a heterologous gene encoding pyruvate decarboxylase that is expressed and active and which has native alcohol dehydrogenase function. Hartley teaches that a suitable vector for expression of *pdc* in a thermophilic bacterium was not yet available and, even if it were available a bacterium transfected with such a vector may not gain *pdc* function: “This strategy depends on developing suitable vectors for the thermophile and might fail if the enzyme were insufficiently thermostable.” (Page 897, lines 2-4.)

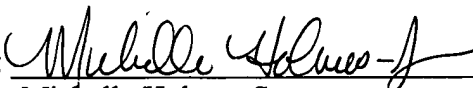
Thus, according to Hartley, suitability of the vector is critical. Danilevich does not teach that the disclosed *pdc* expression vector is suitable for *pdc* expression in thermophilic bacteria. Danilevich teaches an expression vector that contains the *pdc* gene for use in Gram-positive bacterium. (Page 106, first column, lines 18-19.) But the vector was only tested in the Gram-positive bacterium *B. subtilis*, which is not a thermophile. Thus, the ordinary artisan would not have known whether use of the expression vector taught by Danilevich could satisfy either of the criteria set forth by Hartley: suitability for expression in thermophiles and stability of the expressed enzyme. Hartley’s caveat regarding suitability of vectors, combined with Danilevich’s teaching that a bacterium transfected with both *adh* and *pdc* genes may have altered metabolism and Danilevich’s lack of teaching that the disclosed vector will express a functional *pdc* protein

in thermophilic bacteria, would not have provided the ordinary artisan with a reasonable expectation of success of producing the thermophilic bacterium recited in claim 3.

Withdrawal of this rejection to claims 1-8, 10, and 11 is respectfully requested.

Respectfully submitted,

Date: February 14, 2003

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Registration No. 47, 660

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Amendments to the Claims

C1 1. (Currently Amended) A Gram-positive bacterium which has been transformed with a heterologous gene encoding pyruvate decarboxylase, wherein the heterologous gene expresses an active pyruvate decarboxylase, or a functional equivalent thereof, but and wherein the bacterium has solely native alcohol dehydrogenase function.

2. (Original) A Gram-positive bacterium according to claim 1 wherein the bacterium is a Bacillus sp.

3. (Currently Amended) A Gram-positive bacterium according to claim 1 wherein the bacterium is a thermophile.

C2 4. (Currently Amended) A Gram-positive bacterium according to claim 2 wherein the Bacillus is selected from B. stearothermophilus; B. calvodox; B. caldotenax, B. thermoglucosidasius, B. coagulans, B. licheniformis, B. thermodenitrificans, and B. caldolyticus.

5. (Currently Amended) A Gram-positive bacterium according to claim 1 wherein the gene encoding lactate dehydrogenase expression has been inactivated.

6. (Original) A Gram-positive bacterium according to claim 5 in which the lactate dehydrogenase gene has been inactivated by homologous recombination.

7. (Previously Amended) A Gram-positive bacterium according to claim 1 in which the heterologous gene is from Zymomonas sp or from Saccharomyces cerevisiae.

8. (Original) A Gram-positive bacterium according to claim 7 in which the heterologous gene is from Z. mobilis.

C3 9. (Currently Amended) A Gram-positive ~~bacteria according to claim 7~~ bacterium comprising a native adh gene and which has been transformed with a ~~in which the heterologous gene is~~ pdh 5 gene from *S. cerevisiae* *S. cerevisiae*.

10. (Previously Amended) A Gram-positive bacterium according to claim 9 wherein the heterologous gene is incorporated into the chromosome of the bacterium.

11. (Previously Amended) A Gram-positive bacterium according to claim 1 in which the bacterium has been transformed with a plasmid comprising the heterologous gene.

C4 12. (Currently Amended) A Gram-positive bacterium ~~according to claim 11,~~ comprising a native adh gene and which has been transformed with a plasmid comprising a heterologous gene encoding pyruvate decarboxylase, wherein the plasmid is pFC1.

C5 13. (Currently Amended) A Gram-positive ~~bacteria according to claim 1~~ bacterium comprising a native adh gene and which has been transformed with a heterologous gene encoding pyruvate decarboxylase wherein the heterologous gene is operatively linked to the lactate dehydrogenase promoter from Bacillus strain LN (NCIMB accession number 41038).

C6 14. (Currently Amended) Strains LN (NCIMB accession number 41038); LN-T (E31, E32); TN NCIMB accession number 41039); TN-P1; TN-P3; LN-S (J8) (NCIMB accession number 41040); LN-D (NCIMB accession number 41041); LN-D11 and ~~LN-P1~~ LN-DP1.

15-25. (Canceled)

26. (New) The gram-positive bacterium of claim 9 wherein the bacterium is a thermophile.

27. (New) The gram-positive bacterium of claim 12 wherein the bacterium is a thermophile.

28. (New) The gram-positive bacterium of claim 13 wherein the bacteria is a thermophile.

29. (New) The gram-positive bacterium of claim 9 further comprising an inactivated lactate dehydrogenase gene.

30. (New) The gram-positive bacterium of claim 12 further comprising inactivated lactate dehydrogenase gene.

31. (New) The gram-positive bacterium of claim 13 further comprising inactivated lactate dehydrogenase gene.



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One entry found for **heterologous**.

Main Entry: **het·er·ol·o·gous**

Pronunciation: -'ră-l&-g&s

Function: *adjective*

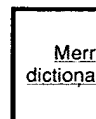
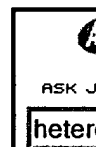
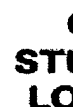
Etymology: *heter-* + *-logous* (as in *homologous*)

Date: 1893

: derived from a different species <*heterologous* DNAs>

<*heterologous* transplants>

- **het·er·ol·o·gous·ly** *adverb*



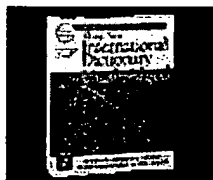
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Pronunciation Symbols

\&\ as a and u in abut

\&\ as e in kitten

\&r\ as ur/er in further

\a\ as a in ash

\A\ as a in ace

\ä\ as in mop

\au\ as u in ut

\ch\ as ch in chin

\e\ as e in bet

\E\ as ea in easy

\g\ as g in go

\i\ as i in hit

\I\ as i in ice

\j\ as j in job

\ng\ as ng in sing

\O\ as o in go

\o\ as aw in law

\oi\ as oy in boy

\th\ as th in thin

\[th]\ as th in the

\ü\ as oo in loot

\u\ as oo in foot

\y\ as y in yet

\zh\ as si in vision



CGCTCAGGATATGACTTCGAGCTAGAGATCGGATCCCGGATATATATATAGCTCGAT
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CAGAGAT

PubMed

Nucleotide

Protein

Genome

Structure

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Nucleotide

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☐ 1: X87929. K.lactis PDC gene...[gi:3688421]

Links

LOCUS KLPDCGENE 3008 bp DNA linear PLN 03-DEC-1998

DEFINITION K.lactis PDC gene and upstream region of CYC1 gene.

ACCESSION X87929

VERSION X87929.1 GI:3688421

KEYWORDS consensus sequence; CYC1 gene; PDC gene; promoter region; pyruvate decarboxylase.

SOURCE Kluveromyces lactis.

ORGANISM Kluveromyces lactis

Eukaryota; Fungi; Ascomycota; Saccharomycotina; Saccharomycetes; Saccharomycetales; Saccharomycetaceae; Kluveromyces.

REFERENCE 1 (bases 1 to 3008)

AUTHORS Ramil,E., Freire-Picos,M.A. and Cerdan,M.E.

TITLE Characterization of promoter regions involved in high expression of KLCYC1

JOURNAL Eur. J. Biochem. 256 (1), 67-74 (1998)

MEDLINE 98417429

REFERENCE 2

AUTHORS Cerdan,E.

TITLE Direct Submission

JOURNAL Submitted (13-JUN-1995) E. Cerdan, Univ. de la Coruna, Departamento de Biologia Celular y Mol., Facultad de Ciencias, Campus de La Zapateira s/n, E- 15071 La Coruna, SPAIN

REFERENCE 3 (bases 1 to 3008)

AUTHORS Cerdan,E.

TITLE Direct Submission

JOURNAL Submitted (13-NOV-1995) M.E. Cerdan, Univ. de la Coruna, Departamento de Biologia Celular y Mol., Facultad de Ciencias, Campus de La Zapateira s/n, E- 15071 La Coruna, SPAIN

COMMENT Related sequences L09727, X77316, X55905, X15668, X60834.

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☐ 1: X81854. N.tabacum mRNA fo...[gi:551260]

Links

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 VERSION X81854.1 GI:551260
 KEYWORDS pyruvate decarboxylase; tobPDC1.
 SOURCE common tobacco.
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 Eukaryota; Viridiplantae; Streptophyta; Embryophyta; Tracheophyta;
 Spermatophyta; Magnoliophyta; eudicotyledons; core eudicots;
 Asteridae; euasterids I; Solanales; Solanaceae; Nicotiana.
 REFERENCE 1 (bases 1 to 1255)
 AUTHORS Bucher,M., Brander,K.A., Sbicego,S., Mandel,T. and Kuhlemeier,C.
 TITLE Aerobic fermentation in tobacco pollen
 JOURNAL Plant Mol. Biol. 28 (4), 739-750 (1995)
 MEDLINE 95375236
 REFERENCE 2 (bases 1 to 1255)
 AUTHORS Mandel,T.
 TITLE Direct Submission
 JOURNAL Submitted (23-SEP-1994) T. Mandel, Institute of Plant Physiology,
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□ 1: U71122. *Arabidopsis* pyruv...[gi:1616786]

Links

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VERSION     U71122.1    GI:1616786
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            Rosidae; eurosids II; Brassicales; Brassicaceae; Arabidopsis.
REFERENCE   1 (bases 1 to 4069)
  AUTHORS   Dolferus,R., Peacock,W.J. and Dennis,E.S.
  TITLE     Two pyruvate decarboxylase genes from Arabidopsis
  JOURNAL    Unpublished
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  AUTHORS   Dolferus,R., Peacock,W.J. and Dennis,E.S.
  TITLE     Direct Submission
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☐ 1: U65927. *Neurospora crassa*...[gi:1655908]

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LOCUS NCU65927 3741 bp DNA linear PLN 01-NOV-1996
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 REFERENCE 1 (bases 1 to 1699)
 AUTHORS Temporini, E., Alvarez, M., Mautino, M., Kawaguchi, T., Kinghorn, J. and Rosa, A.
 TITLE Cloning, sequence and functional analysis of the *Neurospora crassa* pyruvate decarboxylase gene promoter
 JOURNAL Unpublished
 REFERENCE 2 (bases 1 to 3741)
 AUTHORS Temporini, E.
 TITLE Direct Submission
 JOURNAL Submitted (02-AUG-1996) Esteban Temporini, Facultad de Ciencias Quimicas-Univ. Nac. Cordoba, Departamento de Quimica Biologica, Ciudad Universitaria s/n, Cordoba 5016, Argentina
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Taxonomy

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Details

Links

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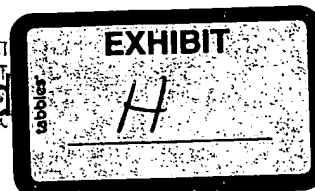
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PubMed

Nucleotide

Protein

Genome

Structure

PopSet

Taxonomy

Search

Nucleotide

for

Go

Clear

Limits

Preview/Index

History

Clipboard

Details

Display

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Save

Text

Add to Clipboard

Get Subsequence

☐ 1: U75311. *Pichia stipitis* p...[gi:2734882]

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ACCESSION U75311

VERSION U75311.1 GI:2734882

KEYWORDS .

SOURCE *Pichia stipitis*.

ORGANISM *Pichia stipitis*
 Eukaryota; Fungi; Ascomycota; Saccharomycotina; Saccharomycetes;
 Saccharomycetales; Saccharomycetaceae; *Pichia*.

REFERENCE 1 (bases 1 to 2305)

AUTHORS Lu, P., Davis, B.P. and Jeffries, T.W.

TITLE Cloning and characterization of two pyruvate decarboxylase genes from *Pichia stipitis* CBS 6054

JOURNAL Appl. Environ. Microbiol. 64 (1), 94-97 (1998)

MEDLINE 98096791

PUBMED 9435065

REFERENCE 2 (bases 1 to 2305)

AUTHORS Lu, P., Davis, B.P. and Jeffries, T.W.

TITLE Direct Submission

JOURNAL Submitted (16-OCT-1996) Institute for Microbial and Biochemical Technology, USDA, FS, Forest Products Laboratory, One Gifford Pinchot Drive, Madison, WI 53705, USA

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301 acttttggca  gccattgcac  aacaattttt  cacttagaat  atctacacct  atttttaact
361 gtagatccca  ataataact  gtccttatag  caatgggtatc  aacctacca  gaatcagagg
421 ttactctagg  aaggtaacct  tttgagcgac  tccaccaatt  gaaagtggac  accattttcg
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//

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BASE COUNT	659 a	600 c	587 g	679 t
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2521	tgaac			

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PubMed

Nucleotide

Protein

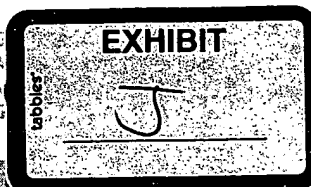
Genome

Structure

PopSet

Taxonomy

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CGCCGCTTCTGCGGATGCGGATATATACACACACACCGCGCGGATAGCATG
GACAGACTTCTGCGGATGCGGATATATACACACACACCGCGCGGATAGCATG

Search for 

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Details

☐ 1: AI728334. BNLGHi10479 Six-d...[gi:5047186]

Links

IDENTIFIERS

dbEST Id: 2637583
EST name: BNLGHi10479
GenBank Acc: AI728334
GenBank gi: 5047186

CLONE INFO

Clone Id: (5')
DNA type: cDNA

PRIMERS

Sequencing: T3 Primer
PolyA Tail: Unknown

SEQUENCE

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Entry Created: Jun 11 1999
Last Updated: Jun 11 1999

PUTATIVE ID Assigned by submitter
PYRUVATE DECARBOXYLASE ISOZYME 1 (PDC)

LIBRARY

Lib Name: Six-day Cotton fiber
Organism: Gossypium hirsutum
Cultivar: Acala Maxxa
Tissue type: immature fiber
Develop. stage: Six days post anthesis
Lab host: XL1-Blue
Vector: pBluescript II KS+

SUBMITTER

Name: Ben Burr
Lab: Biology Department
Institution: Brookhaven National Laboratory

Address: Upton, NY 11973, USA
Tel: 516-344-3396
Fax: 516-344-3407
E-mail: burr@bnlux1.bnl.gov

CITATIONS

Title: ESTs from developing cotton fiber
Authors: Blewitt, M., Matz, E.C., Davy, D.F., Burr, B.
Year: 1999
Status: Unpublished

MAP DATA

Revised: July 5, 2002.

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BASE COUNT 3926 a 2206 c 2256 g 3780 t

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Characterization of *Bacillus stearothermophilus* Plasmid pAB124 and Construction of Deletion Variants

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A restriction endonuclease cleavage map of the tetracycline resistance plasmid pAB124, originally isolated from *Bacillus stearothermophilus*, was constructed using ten enzymes. Tetracycline resistance was associated with a 1.95 megadalton (Md) region of pAB124 lying between two *EcoRI* sites, and this region was circularized to produce a viable tetracycline resistance plasmid (pAB224), with two *EcoRI* fragments of pAB124 deleted amounting to 0.95 Md. A second plasmid (pAB524) with one *EcoRI* fragment (0.6 Md) of pAB124 deleted was also constructed. Restriction endonuclease cleavage maps of pAB224 and pAB524 were constructed.

INTRODUCTION

Several plasmids have been isolated from Gram-positive spore-forming bacilli, e.g. *Bacillus pumilus* (Lovett & Bramucci, 1975), *B. megaterium* (Carlton & Helinski, 1969) and *B. subtilis* (Tanaka *et al.*, 1977; Le Hegarat & Anagnostopoulos, 1977), although none of these has been shown to be associated with any phenotypic trait. More recently, a plasmid from *B. cereus* coding for tetracycline resistance and one from *B. subtilis* producing a bacteriocin have been isolated (Bernhard *et al.*, 1978).

The presence of a plasmid in a bacteriocin (thermocin)-producing strain of *B. stearothermophilus* has recently been reported (Sharp *et al.*, 1979), and we have described the isolation of four plasmids from antibiotic-resistant thermophilic bacilli (Bingham *et al.*, 1979), one of which (pAB124) was shown to confer tetracycline resistance on *B. subtilis*. This paper describes the characterization of pAB124 and the construction of deletion variants of this plasmid.

METHODS

Bacterial strains. Thermophilic *Bacillus* strain TB124, isolated as previously described (Bingham *et al.*, 1979), was classified as *Bacillus stearothermophilus* using standard identification procedures (Cowan & Steel, 1974). *Bacillus subtilis* harbouring plasmid pAB124 was prepared by direct plasmid transformation. *Bacillus subtilis* IG20 (*hsr hsm trp*) was kindly provided by A. Docherty, Department of Bacteriology, Bristol University.

Culture media. For plasmid isolation all strains were grown on TYS medium (Bingham *et al.*, 1979) supplemented with tetracycline at 25 µg ml⁻¹. The following minimal medium (SMS) was used for *B. subtilis* (g l⁻¹): (NH₄)₂SO₄, 2; K₂HPO₄, 14; KH₂PO₄, 6; sodium citrate, 1; MgSO₄·7H₂O, 0.2; glucose, 5.

Isolation of plasmid DNA. This was carried out with 400 ml cultures using the procedure previously described (Bingham *et al.*, 1979). Plasmid pAB124 was originally isolated from *B. stearothermophilus* TB124 and transformed into *B. subtilis* (Bingham *et al.*, 1979). All experiments described in this paper used pAB124 isolated from *B. subtilis*. Plasmid pUB1654 was a gift from A. Docherty.

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Small-scale plasmid preparation. This was used for extracting recombinant DNA from large numbers of clones. Cultures (15 ml) in TYS medium containing the selective antibiotic(s) were grown overnight at 37 °C without shaking. Cells were harvested at 10000 *g*, washed once in 5 ml TES buffer (30 mM-Tris/HCl pH 8.0, 5 mM- Na_2EDTA , 50 mM-NaCl) and resuspended in 100 μl TES. EDTA (25 μl , 0.25 M) and lysozyme (25 μl , 15 mg ml⁻¹) were added and the suspension was shaken gently on ice for 10 min. The suspension was then placed in a 37 °C water bath for 5 min and 25 μl sodium dodecyl sulphate (10%, w/v) was added, to bring about lysis, followed by 50 μl NaCl (5 M); this mixture was left at 0 °C for 2.5 to 3 h. A cleared lysate was obtained by centrifugation at 45000 *g* for 45 min, and this was then extracted twice with chloroform/3-methylbutan-1-ol (24:1, v/v), once with phenol (freshly distilled over N_2) equilibrated in TES buffer and three times with diethyl ether. The plasmid DNA obtained was suitable for restriction endonuclease analysis.

Plasmid transformation. *Bacillus subtilis* IG20 was grown overnight at 37 °C in 200 ml SMS medium supplemented with 0.5 ml tryptophan (2 mg ml⁻¹) and then diluted with an equal volume of similar medium (prewarmed). The absorbance (540 nm) was monitored at 30 min intervals, and at a value equivalent to maximum competence (30 to 60 min after cessation of exponential growth) 1 ml samples of the culture were added to plasmid DNA (0.5 to 5 μg) in 50 μl polyethylene glycol 1000 (50%, w/v) and incubated for 1 h at 37 °C with vigorous aeration. Prewarmed TYS medium (5 ml) was then added and incubation was continued for a further hour prior to plating on selective media. Selective concentrations of antibiotics used were 25 μg ml⁻¹ for tetracycline and neomycin and 75 μg ml⁻¹ for streptomycin. It was not necessary to induce competence by dilution of the culture into a starvation medium (Stacey, 1968).

Restriction endonucleases. *EcoRI* and *CauII* were purified by procedures developed in this laboratory and digestions were carried out in buffers previously described (Bingham *et al.*, 1979). *BstEII*, *HaeIII*, *HhaI*, *HindII*, *HpaI*, *HpaII*, *ThaI*, *XbaI* and T4-DNA ligase were purchased from Uniscience Ltd, Cambridge, and digestions carried out in the buffers recommended by the manufacturer. Reaction mixtures contained 0.5 to 1 μg plasmid DNA in a final volume of 20 to 25 μl , and reactions were terminated by adding Na_2EDTA to a final concentration of 10 mM (*CauII*, *BstEII*, *ThaI*) or heating at 66 °C for 10 min. For double digestions involving enzymes with different buffer requirements, plasmid DNA was first digested with the enzyme requiring the buffer of lower ionic strength, and then the buffer was adjusted with 10 \times concentrated components prior to the addition of the second enzyme.

Agarose gels (0.8%, w/v) were used as previously described (Bingham *et al.*, 1979), with an *EcoRI* plus *HindIII* λ C1857 digest providing fragments of standard sizes: 13.4, 3.35, 3.2, 2.8, 2.32, 1.39, 1.27, 1.05, 0.89, 0.59, 0.47 and 0.31 megadaltons (Md) (Murray & Murray, 1975). The sizes of unknown fragments were determined graphically from a 10 \times enlargement of a photograph (35 mm) and were taken as an average of three estimations.

Cloning of pAB124 *EcoRI* fragments. Plasmids pAB124 (1 μg) and pUB1654 (1 μg) were digested to completion with *EcoRI* and then the enzyme was denatured by heating at 66 °C for 10 min. The fragments were precipitated by adding 3 M-sodium acetate to a final concentration of 0.3 M and 2 vol. cold (-20 °C) ethanol. After 10 min in a solid CO_2 /ethanol bath the precipitate was collected by centrifugation. After removal of all the ethanol the precipitate was resuspended in T4 ligase buffer (66 mM-Tris/HCl pH 7.6, 6.6 mM-MgCl₂, 10 mM-dithiothreitol, 0.4 mM-ATP) and incubated overnight at 4 °C with 0.05 units T4-DNA ligase.

RESULTS

Restriction endonuclease site mapping of pAB124

The fragment sizes obtained by digestion of pAB124 DNA (isolated from *B. subtilis*) with *BstEII*, *CauII*, *EcoRI*, *HaeIII*, *HindII*, *HhaI*, *HpaI*, *HpaII*, *ThaI* and *XbaI* are given in Table 1. These sizes were determined graphically using a lambda DNA digested with *HindIII* plus *EcoRI* as standards subjected to electrophoresis on the same gels as the unknown fragments. The average value for the total size of pAB124 was close to 2.9 Md. A series of double digestion experiments was carried out in order to construct a cleavage map of pAB124 (Table 2).

The positions of the four single sites (*BstEII*, *CauII*, *HpaI*, *XbaI*) relative to each other were determined taking *CauII* as the reference point. An *HpaI* plus *CauII* digest and a *BstEII* plus *CauII* digest both gave a small fragment of 0.35 Md; thus the *BstEII* and *HpaI* sites are the same distance from *CauII* site either on the same side, i.e. very close together, or on opposite sides 0.7 Md apart. The latter position was confirmed by a *BstEII* plus *HpaI* double digestion. The *CauII* plus *XbaI* digestion generated fragments of 1.85 and 1.05 Md. It was assumed that the *XbaI* site was 1.85 Md to the right of *CauII* and the *HpaI*

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Table 1. Fragments produced by restriction endonuclease digestion of pAB124

Digestions and agarose-gel electrophoresis were carried out as described in Methods using plasmid DNA isolated from *B. subtilis*.

Enzyme	Fragment size (Md)			Sum of fragment sizes (Md)
	A	B	C	
<i>Bst</i> EII	2.90			2.90
<i>Cau</i> II	2.90			2.90
<i>Hpa</i> I	2.90			2.90
<i>Xba</i> I	2.90			2.90
<i>Hha</i> I	2.23	0.70		2.93
<i>Hind</i> II	2.30	0.62		2.92
<i>Hae</i> III	1.50	1.18	0.25	2.93
<i>Hpa</i> II	1.80	0.70	0.42	2.92
<i>Tha</i> I	1.84	0.65	0.40	2.89
<i>Eco</i> RI	1.95	0.61	0.33	2.89

Table 2. Fragments produced by digestion of pAB124 with two restriction endonucleases

Double digestions and agarose-gel electrophoresis were carried out as described in Methods using plasmid DNA isolated from *B. subtilis*.

Enzyme pair	Fragment size (Md)				Sum of fragment sizes (Md)
	A	B	C	D	
<i>Bst</i> EII + <i>Cau</i> II	2.55	0.35			2.90
<i>Bst</i> EII + <i>Hpa</i> I	2.23	0.70			2.93
<i>Bst</i> EII + <i>Xba</i> I	2.20	0.70			2.90
<i>Cau</i> II + <i>Hpa</i> I	2.57	0.35			2.92
<i>Cau</i> II + <i>Xba</i> I	1.85	1.05			2.90
<i>Hpa</i> I + <i>Xba</i> I	1.48	1.40			2.88
<i>Hha</i> I + <i>Cau</i> II	2.20	0.44	0.25		2.89
<i>Hha</i> I + <i>Xba</i> I	1.59	0.71	0.60		2.90
<i>Hha</i> I + <i>Hpa</i> I	2.10	0.70	0.10		2.90
<i>Hind</i> II + <i>Cau</i> II	2.30	0.33	0.28		2.91
<i>Hind</i> II + <i>Xba</i> I	1.50	0.78	0.62		2.90
<i>Hind</i> II + <i>Hpa</i> I	2.30	0.61	—		2.91
<i>Hae</i> III + <i>Cau</i> II	1.24	1.18	0.25	0.24	2.91
<i>Hae</i> III + <i>Bst</i> EII	1.18	0.89	0.59	0.25	2.91
<i>Hae</i> III + <i>Xba</i> I	1.30	1.18	0.25	0.18	2.91
<i>Hae</i> III + <i>Hpa</i> I	1.51	1.19	0.16	0.10	2.96
<i>Hpa</i> II + <i>Cau</i> II	1.80	0.70	0.42	—	2.92
<i>Hpa</i> II + <i>Bst</i> EII	1.80	0.42	0.35	0.32	2.89
<i>Hpa</i> II + <i>Xba</i> I	1.80	0.70	0.38	(0.05)†	2.93
<i>Hpa</i> II + <i>Hpa</i> I	1.45	0.72	0.42	0.35	2.94
<i>Tha</i> I + <i>Cau</i> II	1.80	0.42	0.40	0.23	2.85
<i>Tha</i> I + <i>Bst</i> EII	1.83	0.62	0.40	(0.05)†	2.90
<i>Tha</i> I + <i>Xba</i> I	1.60	0.65	0.40	0.26	2.91
<i>Tha</i> I + <i>Hpa</i> I	1.75	0.65	0.40	0.10	2.90
<i>Eco</i> RI + <i>Cau</i> II	1.55	0.60	0.40	0.33	2.88
<i>Eco</i> RI + <i>Bst</i> EII	1.90	0.62	0.33	(0.05)†	2.90
<i>Eco</i> RI + <i>Xba</i> I	1.95	0.33*	0.29	—	2.88
<i>Eco</i> RI + <i>Hpa</i> I	1.20	0.75	0.60	0.32	2.87

* This fragment was overabundant and assumed to be a 'double'.

† Fragment not detected, but its presence can be predicted.

and *Bst*EII sites were positioned using this orientation. If *Hpa*I lies 0.35 Md to the right of the *Cau*II site a double digestion with *Hpa*I plus *Xba*I would generate 1.48 and 1.40 Md fragments, whereas if it lies 0.35 Md to the left, 0.8 and 2.1 Md fragments would be generated. Since fragments of the former sizes were obtained, the *Hpa*I site must lie to the right of the

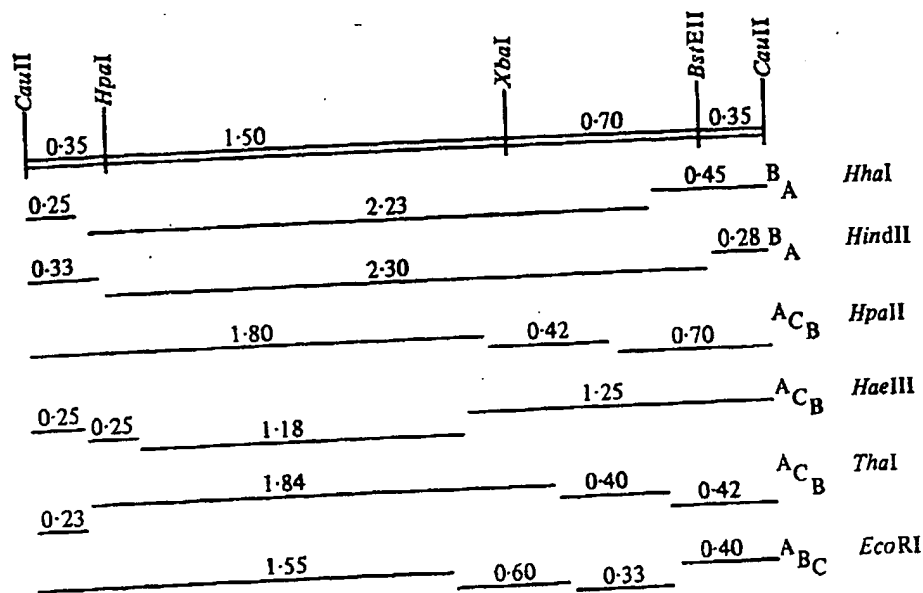


Fig. 1. Relative positions of fragments produced by digestion of pAB124 with several restriction endonucleases.

CauII site with the BstEII site 0.35 Md to the left. The positions of the four sites (Fig. 1) were consistent with the results of all the double digestions carried out.

Using these four single sites as reference points the fragments generated by HhaI, HindII, HpaII, HaeIII, ThaI and EcoRI (Table 1) were ordered using the established techniques of double-digestion mapping. For example, the EcoRI fragments were ordered easily as only one position of EcoRI fragment A was consistent with it containing the BstEII, CauII and HpaI sites but not the XbaI site which is present in EcoRI fragment B. A CauII plus EcoRI digestion generated 1.55 and 0.4 Md fragments from EcoRI A; the 1.55 Md fragment could only lie to the right of the CauII site with the 0.4 Md fragment to the left (Fig. 1). The EcoRI B fragment must lie to the right of EcoRI A over the XbaI site in the position shown (Fig. 1) since an EcoRI plus XbaI digest yielded 0.33 and 0.29 Md fragments from EcoRI B and the distance from EcoRI A to the XbaI site is 0.35 Md. The remaining fragment, EcoRI C, therefore lies to the right of EcoRI B.

The fragments generated by digestion of pAB124 with HhaI, HindII, HaeIII, HpaII and ThaI were ordered in a similar manner and their relative positions are shown in Fig. 1.

Cloning of EcoRI fragments of pAB124 carrying the tetracycline resistance gene

Staphylococcal plasmid pUB1654 conferring streptomycin and neomycin resistance was used. This has a single EcoRI site lying within the streptomycin resistance gene (A. Docherty, personal communication). It would therefore be expected that insertion of pAB124 fragments at this site would inactivate streptomycin resistance. Transformation of the ligated fragments generated about 35% of clones that were Nm^r Str^r Tc^r. DNA was extracted from several of these clones and was analysed with EcoRI (Table 3). The minimum requirement for tetracycline resistance was shown to be the EcoRI fragment A (1.95 Md) of pAB124 present alone in 50% of the recombinant molecules examined.

Construction of small tetracycline resistance plasmids from cloned EcoRI fragments of pAB124

Plasmid DNA from each type of clone, representing all combinations of pAB124 EcoRI fragments (Table 3), was digested to completion with EcoRI and then ligated with T4-DNA ligase. The DNA was then transformed into *B. subtilis* IG20 and Tc^r Nm^r Str^r clones were identified. Two types of plasmid were isolated from the clones examined (Table 4): pAB224

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Table 3. Restriction endonuclease analysis of recombinant plasmids of pAB124 and pUB1654

Plasmid DNA was extracted from clones of Nm^r Str^r Tc^r phenotype and digested with *Eco*RI as described in Methods.

Clones identified		<i>Eco</i> RI digestion		Type of recombinant
No.	Plasmid size (Md)	Fragment size , (Md)	pAB124 fragment(s) inserted	
10	6.0	4.0*	—	I
		1.95	A	
3	6.55	4.0*	—	II
		1.95	A	
		0.60	B	
2	6.30	4.0*	—	III
		1.95	A	
		0.32	C	
4	6.90	4.0*	—	IV
		1.95	A	
		0.60	B	
		0.32	C	

* This fragment corresponds to pUB1654.

Table 4. *Eco*RI analysis of tetracycline resistance plasmids derived from pAB124:pUB1654 recombinant molecules

Plasmid DNA was extracted from Tc^r Nm^r Str^r clones and digested with *Eco*RI as described in Methods.

DNA source*		Tetracycline resistance plasmids			
Type of recombinant	pAB124 <i>Eco</i> RI fragment(s) present	No. of clones†	Size (Md)	<i>Eco</i> RI fragments (Md)	pAB124 <i>Eco</i> RI fragment(s) present
I	A	10	1.95	1.95	A (pAB224)
II	A + B	10	1.95	1.95	A (pAB224)
III	A + C	7	1.95	1.95	A (pAB224)
		3	2.3	1.95, 0.32	A + C (pAB524)
IV	A + B + C	8	1.95	1.95	A (pAB224)
		2	2.3	1.95, 0.32	A + C (pAB524)

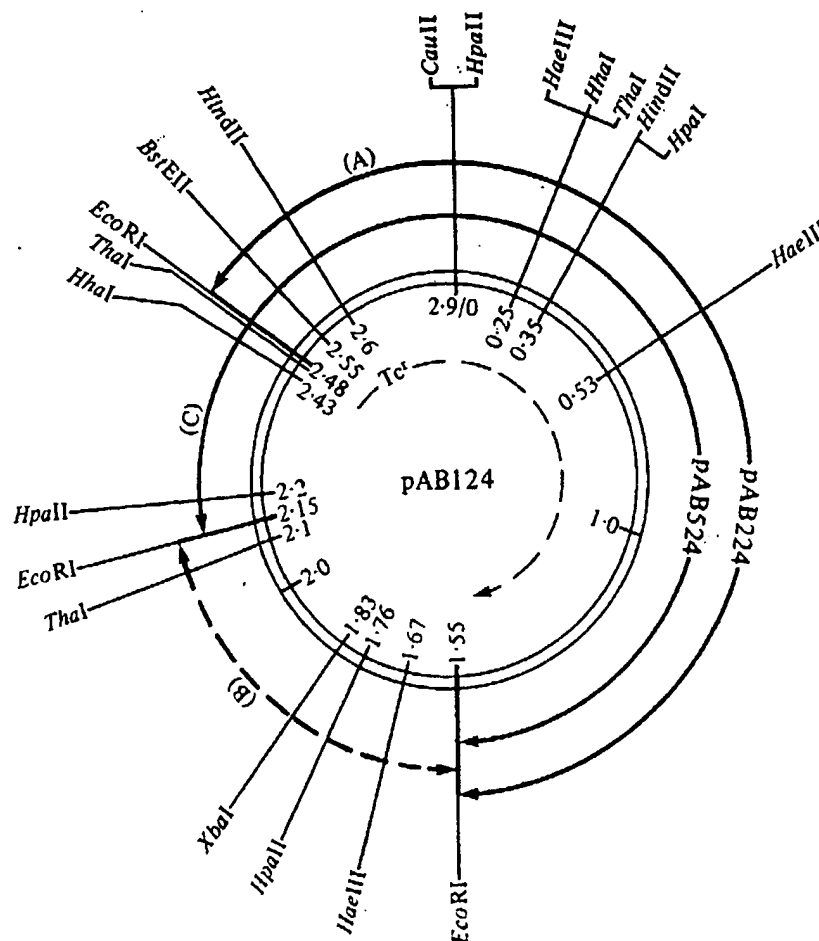
* Recombinant plasmids of pAB124 and pUB1654 (see Table 3).

† Ten clones from each transformation were examined.

which was the recircularized *Eco*RI fragment A of pAB124 (1.95 Md) and pAB524 containing *Eco*RI fragments A plus C (2.3 Md). No plasmids containing *Eco*RI fragments A plus B or reconstructed pAB124 were detected.

Plasmid pAB224 was shown to contain seven single sites (*Eco*RI, *Bst*EII, *Cau*II, *Hpa*II, *Hha*I, *Tha*I and *Hpa*I). The position of each site was determined by double digestions and was shown to be consistent with pAB224 being the recircularized *Eco*RI fragment A of pAB124 (Fig. 2). Therefore *Eco*RI A contains the tetracycline resistance genes and all the known essential functions of pAB124.

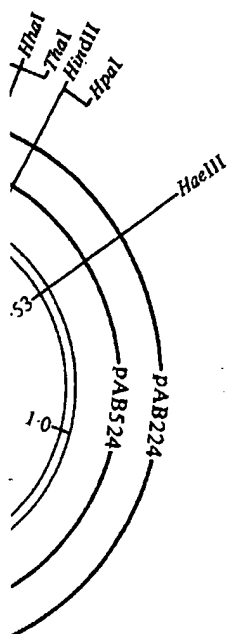
An *Eco*RI digest of pAB524 generated fragments of 1.95 Md and 0.32 Md. The larger fragment contained *Bst*EII, *Cau*II and *Hpa*I sites and double digestions confirmed that it was the *Eco*RI fragment A of pAB124; the smaller fragment contained *Hha*I and *Hpa*II sites and was assumed to be the *Eco*RI fragment C of pAB124. Two orientations of *Eco*RI C within pAB524 were possible and each would give different sized fragments when digested with *Hpa*II or *Hha*I. The observed sizes of the fragments obtained from five different examples of pAB524 indicated that the order of *Eco*RI fragments A and C was the same as that observed in pAB124 (Fig. 2).



DISCUSSION

The development of the staphylococcal vector pUB1654 (Nm^r Str^r; A. Docherty, personal communication) allowed us to examine which region of pAB124 contained the

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124, pAB224 and pAB524.

pAB124 by standard procedures *stearothermophilus* but not identical is to develop a vector for cloning asis for such a vector. The expres- this plasmid as a vector for gene hat the plasmid is stable with no *btilis*, as determined by restriction. however, are lower with plasmid. rom *B. subtilis*; this may in part be nsform at a higher frequency than; venient restriction site for cloning; a cohesive terminus and lies within; tance or plasmid replication (Fig- ycin resistance plasmid (pUB110); ce. Of the remaining single restric- *CauII* produce a cohesive terminus s making the use of these sites for within the region of pAB124 that

654 (Nm⁺ Str^r; A. Docherty, per- region of pAB124 contained the

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tetracycline resistance genes. Only the large *EcoRI* fragment (A) was essential for the expression of the Tc^r phenotype and the survival of the plasmid as a replicon. The circular- ized *EcoRI* fragment A (pAB224) contained one site for each of seven restriction endo- nucleases (Fig. 2); three of these enzymes produce a cohesive terminus (*EcoRI*, *HpaII* and *HhaI*), and therefore this plasmid is of potential use as a small (1.95 Md) vector for gene cloning. We have attempted to insert at the *EcoRI* site the *B. licheniformis* β -lactamase that is cloned between two *EcoRI* sites in a lambda vector (W.J. Brammar, personal communi- cation) and also the β -lactamase of the staphylococcal plasmid pI258 (Novick *et al.*, 1979). In both cases we could not isolate recombinant molecules containing a β -lactamase gene. We are therefore continuing to examine the ability of pAB224 to express genes cloned at the *EcoRI* site.

The construction of pAB524 in a similar manner to pAB224 gives rise to a plasmid with two *EcoRI*, two *HpaII* and two *HhaI* sites, but no *XbaI* site. A potentially useful deletion plasmid would be that formed by circularization of *EcoRI* fragments A plus B of pAB124, which would retain the single *XbaI* site and also contain one *HhaI* site. However, repeated ligations of *EcoRI*-digested recombinants of pUB1654 containing *EcoRI* fragments A plus B failed to generate this plasmid; only pAB224 (*EcoRI* A) and pUB1654 containing *EcoRI* fragment A of pAB124 were obtained. This was rather surprising since one would expect circularization of *EcoRI* fragments A plus B to be about as efficient as circularization of *EcoRI* fragments A plus C (pAB524; Table 4).

We intend to examine the ability of pAB124 and pAB224 to express chromosomal functions cloned at the *XbaI* and *EcoRI* sites, respectively, in order to evaluate their use as vectors for gene cloning in *Bacillus* species.

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PubMed

Nucleotide

Protein

Genome

Structure

PMC

Taxonomy

Search

Nucleotide

for

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Preview/Index

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☐ 1: L09136. pUC18c cloning ve...[gi:209210]

Links

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 TITLE Obtained from VecBase 3.0
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 The beta-galactosidase mRNA sequence including the multiple
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Features of pUC18c (2686 bp)

residue source

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 399- 455 57- 1 (c) polylinker of M13mp18
 456- 682 1297-1071 (c) Lac-Operon
 683-2686 2352-4355 pBR322

Conflict (cfl) and Mutations (mut):

pUC18c source

mut 1942 A G 3611 pBR322

mut 2243 T C 3912 pBR322

FEATURE

1629-2417 789-1 (c) Ap-R; b-lactamase

POLYLINKER EcoRI-SacI-KpnI-SmaI-BamHI-XbaI-SalI-PstI-SphI-HindIII

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#indicator beta-galactosidase

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FEATURES

source

Location/Qualifiers

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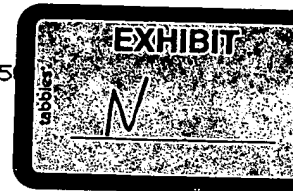
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Revised: July 5, 2002.

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Jan 21 2003 18:08:12



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
E. GREEN <i>et al.</i>)	Group Art Unit: 1652
Serial No. 09/754,083)	Examiner: M. N. RAO
Filed: January 5, 2001)	Atty. Docket No. 000487.00007
For: ETEHANOL PRODUCTION)	

DECLARATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

We, Edward Green, Muhammad Javed, and Namdar Baghaei-Yazdi, declare that:

1. We are the named inventors of the subject matter claimed in the above-identified application. We deposited *Bacillus* strains LN (accession number 41038), TN (accession number 41039), LN-S (J8) (accession number 41040), and LN-D (accession number 41041) with the National Collections of Industrial, Food and Marine Bacteria (NCIMB) at 23 St. Machar Drive, Aberdeen AB2 1RY, Scotland, United Kingdom. Each deposit was made under the terms of the Budapest treaty.

2. Upon issuance of a patent each of these strains will be irrevocably and without restriction or conditions be available to the public.

3. We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these were made with the knowledge that false statements made willfully are punishable by fine, imprisonment, or both a fine and imprisonment under Section 1001 of Title 18 of the United States; and further that false statements made willfully may jeopardize the validity of any patent issuing on an application in which the false statements were made.

20/01/03

Date

17/01/03

Date

17/1/03

Date

EMC

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333

EXHIBIT

Mutants of *Bacillus stearothermophilus* lacking NAD-linked L-lactate dehydrogenase

(Fermentation; ethanol production; fluoropyruvate resistance; thermophile)

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1. SUMMARY

Bacillus stearothermophilus produces low levels of ethanol following fermentation of glucose at temperatures in excess of 60°C. A major by-product is L-lactic acid. To improve ethanol yields mutants of this organism were isolated which lacked NAD-linked L-lactate dehydrogenase, the enzyme responsible for lactic acid production. This was achieved by selection for resistance to a suicide substrate, fluoropyruvate. Such mutants no longer produced lactic acid and yields of ethanol from glucose were increased by at least a factor of two.

2. INTRODUCTION

B. stearothermophilus is a facultatively anaerobic thermophilic bacillus capable of growing either oxidatively or fermentatively on glucose [1]. During fermentative growth in rich broth with glucose or sucrose as the major carbon source *B. stearothermophilus* displays a "mixed-acid" type fermentation with the production of lactic, acetic and formic acids and some ethanol [2].

The oxidation and fermentative metabolism of glucose by wild-type *B. stearothermophilus*

(NCA1503) has been described previously [3,4]. It appears similar to that typified by the enteric bacterium *Escherichia coli* in that under aerobic, oxidative conditions, pyruvate formed by glycolysis is further metabolised by a pyruvate dehydrogenase (PDH) complex [5] to form acetyl CoA, which would normally be oxidised through the citric acid cycle. Growth under fermentative conditions, however, induces the synthesis of a pyruvate formate lyase (PFL) system [6] which converts the pyruvate to acetyl CoA, but with the concomitant production not of NADH as with the PDH complex but of formate. It has been reported previously both for *B. stearothermophilus* itself [2] and for other "mixed-acid" type fermentative microorganisms such as *E. coli* [7] that the pH of a fermentation can drastically affect the ratios of the different possible end-products. For *B. stearothermophilus*, there appears to be a relationship whereby the production of low levels of lactic acid as a fermentative end-product facilitates the production of relatively higher amounts of ethanol. This is due, presumably, to the extra availability both of carbon as pyruvate and of reducing equivalents as NADH under such conditions. We have been investigating the effects of a variety of parameters on the overall fermentation balance of

this organism as a model system for the improvement of ethanol-producing thermophiles for possible use in a thermophilic ethanol fermentation process. In order to improve ethanol yields, therefore, we attempted to abolish completely the production of lactic acid during the fermentation of glucose by *B. stearothermophilus* by the manipulation of media and growth conditions, but without total success. We therefore opted to remove NAD-linked lactate dehydrogenase, the enzyme responsible for L-lactate production, by mutagenesis. We describe here the isolation of mutants lacking this enzyme and a preliminary discussion of their fermentation characteristics.

3. MATERIALS AND METHODS

The starting strain of *B. stearothermophilus* used in this study was a prototrophic variant of *B. stearothermophilus* NCA1503. This strain, PSII, was isolated previously as a strain capable of rapid anaerobic growth on glucose (Payton, unpublished). Cultures were grown and maintained on LB glucose medium containing yeast extract ($20 \text{ g} \cdot \text{l}^{-1}$), tryptone ($10 \text{ g} \cdot \text{l}^{-1}$) and D-glucose ($2 \text{ g} \cdot \text{l}^{-1}$) at a final pH of 7.0. For plates, LB glucose medium was solidified with 1.5% (w/v) Difco Bacto Agar. Growth was performed at 60°C unless otherwise stated.

Fluoropyruvic acid (sodium salt) was obtained from Sigma (London). Mutants of *B. stearothermophilus* PSII resistant to this compound were isolated first by growing PSII overnight at 60°C aerobically in LB glucose medium. Samples of 0.1 ml of these cultures containing approximately 10^8 viable cells were then plated onto LB glucose medium solidified with agar and allowed to dry. Fluoropyruvate was then applied, as a solid (2–5 mg) to the centre of each plate and the plates were incubated overnight at 60°C , after which time zones of inhibition of 1–3 cm could be seen within a lawn of bacterial growth. Occasionally single colonies could be seen within the zone of inhibition and these were picked onto LB glucose solid medium for purification. Single colonies purified in this way were retested by repeating the original

isolation procedure. Only those strains which exhibited zones of inhibition repeatedly and significantly less than the parental strain PSII (i.e. $< 0.5 \text{ cm}$) were chosen for further study.

Initial classification of these strains was performed by growing the strains individually in 2-ml volumes of LB glucose medium in static culture at 60°C for 12 h. Half of the culture was centrifuged at $5000 \times g$ for 5 min and the resultant supernatant assayed for the presence of L-lactic acid using a commercially available kit for the determination of L-lactic acid (Boehringer). The remaining half of the culture was permeabilised by a modification of the method previously published for *E. coli* [8].

To 1 ml samples of culture, 10 μl aliquots of a 10% (v/v) solution of toluene in absolute ethanol were added. Following incubation on ice for 20 min the mixtures were vortexed for 1 min and returned to ice. Samples were used within 30 min of preparation to measure NAD-linked L-lactate dehydrogenase by the method of Tarmy and Kaplan [9].

The assay contained in a total volume of 1 ml: NADH (0.3 mM), sodium pyruvate (30 mM) in potassium phosphate buffer (0.1 M, pH 7.5). Sufficient permeabilised extract was added to give an absorbance change of approx. 0.1 min^{-1} at 340 nm and 25°C . Assay results were verified by preparing sonicates of the appropriate strain; cells from 100 ml overnight cultures in LB glucose medium were harvested by centrifugation, washed twice in 10 ml volumes of potassium phosphate buffer (0.1 M, pH 7.5) and finally resuspended in 5 ml of the same buffer. Suspensions were sonicated for 5 1-min bursts (150 W MSE ultrasonic disintegrator, peak to peak amplitude $12 \mu\text{m}$) at 0°C and centrifuged at 4°C and $12000 \times g$ for 15 min to remove debris. Lactate dehydrogenase was then assayed in supernatants as described above.

Determinations of the products of glucose fermentation were performed on supernatants from cultures grown on either CG medium (tryptone $30 \text{ g} \cdot \text{l}^{-1}$, yeast extract $10 \text{ g} \cdot \text{l}^{-1}$, D-glucose $10 \text{ g} \cdot \text{l}^{-1}$) or SG medium (tryptone $1 \text{ g} \cdot \text{l}^{-1}$, yeast extract $0.5 \text{ g} \cdot \text{l}^{-1}$, D-glucose $10 \text{ g} \cdot \text{l}^{-1}$) for 40 h at 57°C . Assays for lactic acid were performed as described

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above. Ethanol and acetate were also measured using commercially available kits from Sigma (U.K.) and Boehringer, respectively.

4. RESULTS AND DISCUSSION

We had previously failed to isolate lactate dehydrogenase-deficient strains by conventional techniques such as screening for low acid-producing mutants of *B. stearothersophilus* PSII following growth on glucose either aerobically or anaerobically. We therefore sought an alternative method based on a selection for resistance to a suicide substrate, fluoropyruvate. We had previously noted that the pyruvate analogue fluoropyruvate (FCH₂-CO-COOH) was toxic to *B. stearothersophilus*. The toxicity of fluoropyruvate could depend on its ability itself to inhibit a key metabolic step, or could depend on its conversion initially either to fluoroacetyl CoA or to fluorolactate. In each case, amongst fluoropyruvate-resistant strains, some should be defective in uptake or accumulation of the toxic analogue. In addition, however, mutants should be isolated which affect the target reaction of inhibition or the metabolism of fluoropyruvate to fluoroacetyl CoA (via PDH or PFL) or to fluorolactate (via NAD-linked L-lactate dehydrogenase).

We therefore isolated fluoropyruvate-resistant mutants (MATERIALS AND METHODS) and proceeded to characterise the resulting strains. Strains chosen for further study, designated FPY (fluoropyruvate-resistant) mutants, were analysed by screening glucose-grown cells for the presence or absence of NAD-linked L-lactate dehydrogenase. This was tested initially on cells permeabilised with toluene, then verified using cell extracts prepared by sonication (MATERIALS AND METHODS). The supernatants from the same cultures were also assayed for the presence of L-lactic acid (MATERIALS AND METHODS). The results of these analyses are shown in Table 1. Of 12 strains thus tested 8 failed to produce lactic acid and also lacked detectable LDH activity in permeabilised cell suspensions. Assays performed on sonicates of selected strains were used to verify the absence of LDH. One of these strains, FPY 15, was chosen for

Table 1

An analysis of fluoropyruvate-resistant mutants of *B. stearothersophilus* PSII

Strain No.	Lactate production	LDH detected in toluenised cells	LDH present in sonicates
FPY 4	+	+	+
FPY 5	-	-	-
FPY 6	-	-	-
FPY 7	+	+	+
FPY 8	+	+	NT*
FPY 9	+	+	NT
FPY 10	-	Low	NT
FPY 11	-	Low	NT
FPY 12	-	-	NT
FPY 13	-	Low	NT
FPY 14	-	-	NT
FPY 15	-	-	-

* NT, not tested.

further study and was redesignated *Ild15* (L-lactate dehydrogenase-deficient) and its fermentation balance studied.

Table 2 shows a comparison of the major fermentation products from glucose of *Ild15* vs. its parent PSII. First, in both strains acetate formation is higher on complex medium than on simple medium. We believe this is due to conversion of amino acids in the complex medium into acetate. Second, ethanol production by *Ild15* is higher by a factor of two than by PSII. We have subsequently performed a number of fermentations on *Ild15* both in batch and continuous culture at varying pH which have been published elsewhere [3,4] and have improved the ethanol yield by *Ild15*. The figure quoted for PSII of 0.5 mol ethanol per

Table 2

Yields of fermentation products from glucose (mol product/mol glucose) for *B. stearothersophilus* strains PSII and *Ild15*

The media used and assays of products are described in MATERIALS AND METHODS.

Strain	PSII		<i>Ild15</i>	
	CG	SG	CG	SG
Medium				
Lactate	1	1	0.04	0
Acetate	2	0.5	3.38	1
Ethanol	0.5	0.5	1	1
Total	3.5	2.0	4.42	2.0

mol glucose is, however, the highest we have obtained to date; at lower pHs ethanol production drops off sharply. These data show first that mutants of *B. stearothermophilus* lacking NAD-linked lactate dehydrogenase retain the ability to grow on glucose aerobically or anaerobically. Furthermore, the lactate dehydrogenase-deficient (*Ild*) strains of *B. stearothermophilus* produce significantly more ethanol than the wild-type strain following fermentation of glucose, verifying the trends shown by McKray and Vaughn [2]. Results from fermentations performed on *Ild15* suggest that the isolation of similar mutants in other organisms of potential use in ethanol fermentations may be a means of increasing ethanol yields. In addition, the isolation of *Ild* mutants of *B. stearothermophilus* amongst fluoropyruvate-resistant strains suggests that in this organism one mode of fluoropyruvate toxicity is dependent on its initial conversion to fluorolactate, in contrast to previous reports of the effect of this and similar fluoroanalogues on *E. coli* [10]. We have, in fact, shown (Payton, M.A., unpublished) that fluoropyruvate is a substrate, albeit a poor one, for semipurified LDH from *B. stearothermophilus*, as has been reported for LDHs from other sources [11].

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